SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Pollack Jon		2. Date of Event Requiring Statement (Month/Day/Year) 05/17/2011 3. Issuer Name and Ticker or Trading Symbol CECO ENVIRONMENTAL CORP [CECE]									
(Last) (First) (Middle) 2300 YONGE STREET						tionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1710						Officer (give title below)	Other (spec below)			ividual or Joint/ able Line)	Group Filing (Check
(Street)									Х	Form filed by	One Reporting Person
TORONTO	A6	M4P 1E4								Form filed by Reporting Pe	/ More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					2,300		D				
Common Stock					2,300		I B		By spouse		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securi				ise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Derivativ Security	ve	or Indirect (I) (Instr. 5)	
6% Convertible Debenture			11/26/2009	11/26/2014 ⁽¹⁾)	Common Stock	37,500	4		Ι	Footnote ⁽²⁾
Option (right to buy)			12/13/2010 ⁽³⁾	12/13/2019		Common Stock	65,000	4.05		D	

Explanation of Responses:

1. The convertible debenture is convertible into common stock of the Company at any time prior to the repayment date. It is payable upon the earlier of (i) November 26, 2014, (ii) the consent of holder, or (iii) upon certain changes of control.

2. By JMP FAM Holdings Inc., over which filer has sole voting and dispositive control.

3. The option was issued on December 13, 2009. It vests in five equal annual installments of 13,000 shares on the anniversary date of the grant, commencing December 13, 2010.

Remarks:

/s/ Jonathan Pollack ** Signature of Reporting Person

05/19/2011

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.