

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT  
Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): November 4, 2021**

**CECO ENVIRONMENTAL CORP.**

(Exact Name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**000-7099**  
(Commission  
File Number)

**13-2566064**  
(IRS Employer  
Identification No.)

**14651 North Dallas Parkway  
Suite 500  
Dallas, TX**  
(Address of principal executive offices)

**75254**  
(Zip Code)

**Registrant's telephone number, including area code: (214) 357-6181**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol	Name of each exchange on which registered
<b>Common Stock, par value \$0.01 per share</b>	<b>CECE</b>	<b>The NASDAQ Stock Market LLC</b>

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On November 4, 2021, CECO Environmental Corp. (the “Company”) increased the size of the Board of Directors of the Company (the “Board”) from eight to nine directors and appointed Richard F. Wallman to serve as a director of the Company. Mr. Wallman’s term will expire on the date of the Company’s 2022 Annual Meeting of Stockholders. The Board has determined that Mr. Wallman qualifies as an independent director under the applicable rules of the Nasdaq Stock Market LLC.

There is no arrangement or understanding between Mr. Wallman or any other person pursuant to which he was elected as a director. There are no transactions in which Mr. Wallman has an interest requiring disclosure under Item 404(a) of Regulation S-K. For his service on the Board, Mr. Wallman will be entitled to the same compensation arrangements as the Company’s other non-employee independent and non-affiliated directors, as described in the Company’s Definitive Proxy Statement filed with the Securities and Exchange Commission on April 15, 2021.

**Item 8.01 Other Events.**

On November 8, 2021, the Company issued a press release announcing the appointment of Mr. Wallman as a director. A copy of the press release is attached hereto as Exhibit 99.1.

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

<u>Exhibit Number</u>	<u>Exhibit Title</u>
99.1	<a href="#">Press Release of CECO Environmental Corp. dated November 8, 2021.</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document).

**Signatures**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 8, 2021

CECO Environmental Corp.

By: /s/ Matthew Eckl  
Matthew Eckl  
Chief Financial Officer



## **CECO Environmental Announces Appointment of Richard F. Wallman to its Board of Directors**

**DALLAS (Nov. 8, 2021) — CECO Environmental Corp. (Nasdaq: CECE)**, a leading global air quality and fluid handling company serving the energy, industrial and other niche markets, today announced Richard F. Wallman, former Chief Financial Officer of Honeywell, has joined the company’s board of directors.

Wallman has a 30-year career in financial executive management with a variety of firms, including Honeywell, its predecessor AlliedSignal, IBM and Chrysler. He also brings a diverse portfolio of board experience, currently serving as a director for Roper Technologies and Charles River Laboratories.

“We are pleased to welcome a business leader of Richard’s caliber to the CECO organization,” said Jason DeZwirek, chairman, CECO Environmental. “He brings a wealth of expertise in global business, industry, manufacturing and operations, along with a deep understanding of global challenges and opportunities. I look forward to Richard bringing his relevant experience to support the strategy behind our transformational journey.”

“I want to thank CECO for the opportunity to join the board and help the company execute their growth and transformation strategy,” said Wallman. “I’ve known Todd for more than 20 years and have had the pleasure of working with him during our time at Honeywell. CECO has an extremely capable leadership team, great businesses and tremendous potential.”

Added CECO Chief Executive Officer Todd Gleason, “Richard is a great addition to CECO, as he adds the right depth and breadth to our organization. I personally look forward to working with him in advancing the strategy that the CECO leadership team and board all have signed on to accomplish.”

Wallman received a Bachelor of Engineering degree from Vanderbilt University and an MBA from The University of Chicago Booth School of Business.

### **ABOUT CECO ENVIRONMENTAL**

CECO Environmental is a global leader in industrial air quality and fluid handling serving a broad landscape of industrial and other niche markets. Providing innovative technology and application expertise, CECO helps companies grow their business with safe, clean, and more efficient solutions that help protect our shared environment. In regions around the world, CECO works to improve air quality, optimize the energy value chain, and provide custom Engineered Systems for applications including power generation, petrochemical processing, general industrial, refining, midstream oil & gas, electric vehicle production, poly silicon fabrication, battery recycling, beverage can, and water/wastewater treatment along with a wide range of other applications. CECO is listed on Nasdaq under the ticker symbol “CECE”. For more information, please visit [www.cecoenviro.com](http://www.cecoenviro.com).

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