SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287							
Estimated average burden							
hours per response:	0.5						

to Sec obligat	this box if no lo tion 16. Form 4 tions may conti ction 1(b).	or Form 5	STATE		oursuant	t to Se	ction 16(a) o	of the Se	ecuriti	EFICIA es Exchange apany Act of	e Act of 19		RSHIP	Estim	Number: ated average burg per response:	3235-0287 den 0.5
1. Name and Address of Reporting Person* Gohr Paul M (Last) (First) (Middle) 14651 NORTH DALLAS PARKWAY				2. Issuer Name and Ticker or Trading Symbol <u>CECO ENVIRONMENTAL CORP</u> [CECE] 3. Date of Earliest Transaction (Month/Day/Year) 05/20/2020								5. Relationship of Reporting Person(s) to Is (Check all applicable) Director 10% Ov X Officer (give title Other (s below) below) Chief Accounting Officer			Dwner (specify)	
(Street) DALLA (City)			5254 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)							ne) X Form Form	, ,			
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transac Date (Month/Date)				Transact ate	tion 2A. Deemed Execution Date,			uired, Disposed of, or Benef 3. Transaction Code (Instr. 8)				d (A) or	A) or 5. Amount of		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
						(Month/Day/real)		Code	v	Amount	(A) or (D)	Price	Report Transa	ed ction(s) 3 and 4)	() (1130.4)	(Instr. 4)
Common Stock 05/20				05/20/2	2020		Α		8,000	Α	\$ <mark>0.(</mark>	00 3	5,366	D		
		Tal	ble II - De (e.ç							osed of, c onvertibl				d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	Date,	4. Transact Code (Ins 8)	nsaction of		6. Date Exercisable and Expiration Date (Month/Day/Year) Underlving			of s	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall	Ownership Form:	11. Nature of Indirect Beneficial Ownership	

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	8)				Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

Remarks:

/s/ Paul M. Gohr

06/15/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.