## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D | D.C. | 20549 |
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| STATEMENT  | OF CHANG | ES IN BENE   | FICIAL (  | OWNERSHIP    |
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|   | OMB Number:             | 3235-0287 |
| l | Estimated average burde | en        |
|   | hours per response:     | 0.5       |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Pollack Jonathan |  |  |   |                      |                              | 2. Issuer Name and Ticker or Trading Symbol CECO ENVIRONMENTAL CORP |  |  |                    |   |  |         |   | 5. Relationship<br>(Check all app<br>X Direct |  | olicable)                           | ng Pe  | rson(s) to Is |  |  |
|--|--|--|---|----------------------|------------------------------|---|--|--|--------------------|---|--|---------|---|---|--|-------------------------------------|--|---------------|--|--|
| (Last)<br>2300 YO  | (Fii   | rst) (<br>EET, SUITE 1710                  | Middle)                                     |                      |                              | 3. Date of Earliest Transaction (Month/Day/Year) 11/09/2017         |  |  |                    |   |  |         |   | Offic<br>below                                | er (give title<br>w)   | Other (sp<br>below)                 |  |               |  |  |
| (Street) TORON   |  |  | M4P 1E4<br>Zip)                             | 4                    | - 4. If                      | 4. If Amendment, Date of Original Filed (Month/Day/Year)            |  |  |                    |   |  |         | 6. Ind<br>Line)   | Forn  | or Joint/Group Filing (Check Applicable<br>rm filed by One Reporting Person<br>rm filed by More than One Reporting<br>rson |                                     |  |               |  |  |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |  |   |                      |                              |   |  |  |                    |   |  |         |   |   |  |                                     |  |               |  |  |
| Diam's (means)   |  |  | 2. Transa<br>Date<br>(Month/D               | Ex<br>Day/Year) if a |                              | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)         |  | Code (Instr.                                 |                    | 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 5) |  |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following   |   | Form<br>(D) o  | n: Direct<br>r Indirect<br>nstr. 4) | 7. Nature of Indirect Beneficial Ownership   |               |  |  |
|  |  |  |   |                      |                              |   |  |  | Code               | v   | Amount   | (A) (D) | or Pric   | Repor<br>Transa<br>(Instr.                    |  | ed<br>ction(s)<br>3 and 4)          |  |               | (Instr. 4)   |  |
| Common Stock 11/0  |  |  |   | 11/09/               | 2017                         |   |  |  | P                  |   | 8,900  | A       | \$5.  | 87(1)   | 65   | 5,200                               |  |               | See<br>footnote <sup>(2)</sup>   |  |
| Common Stock   |  |  |   |                      |                              |   |  |  |                    |   |  |         |   |   | 2  | ,300                                |  |               | By<br>Spouse   |  |
| Common Stock   |  |  |   |                      |                              |   |  |  |                    |   |  |         |   |   | 26,146   |                                     |  | D             |  |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |                      |                              |   |  |  |                    |   |  |         |   |   |  |                                     |  |               |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deer<br>Execution<br>if any<br>(Month/E | n Date,              | 4.<br>Transa<br>Code (<br>8) | Instr.  | of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo | rities<br>iired<br>r<br>osed<br>)<br>r. 3, 4 | Expiratio (Month/D |   | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |         | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4)  Amoun<br>or<br>Numbe<br>of<br>Title Shares |   | -  |                                     | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | y             | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |

## **Explanation of Responses:**

- 1. Price reflects a weighted average price for multiple transactions that ranged from \$5.84 to \$5.89 per share. The Reporting Person undertakes to provide, upon request by the Commission Staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 2. These shares are owned directly by JMP FAM Holdings Inc., and indirectly by Jonathan Pollack. Jonathan Pollack is deemed to control JMP FAM Holdings Inc. because he has sole voting and dispositive power of the shares of common stock of the Issuer owned directly by JMP FAM Holdings Inc.

## Remarks:

/s/ Paul M. Gohr, Attorney-in-Fact for Jonathan Pollack

11/09/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.