SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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· ·	g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>CECO ENVIRONMENTAL CORP</u> [CECE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)									
2300 YONGE STREET SUITE 1710 (Street)		X Director 10% Owner										
(Last) (First) 2300 YONGE STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/27/2013	Officer (give title Other (specify below) below)									
SUITE 1710		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable									
(Street) TORONTO A6	M4P 1E4	-	Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person									
(City) (State)	(Zip)											

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities A Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transa Code (ction	5. Numb of Derivati	oer ive	6. Date Exerci Expiration Dat (Month/Day/Ye	sable and	7. Title and Amount of Securities Underlying		8. Price of Derivative Security	derivative Securities	10. Ownership Form:	Beneficial
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Derivative Security (Instr. 3 and 4)		(Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$12.72	08/27/2013		A		15,000		08/27/2014 ⁽¹⁾	08/27/2023	Common Stock	15,000	(2)	15,000	D	

Explanation of Responses:

1. The options vest in five equal annual installments on the anniversary date of the grant, commencing August 27, 2014.

2. Not applicable.

Remarks:

<u>/s/ Kathryn A. Erickson as</u> Attorney-in-Fact for Ionathan

Attorney-in-Fact for Jonathan 08/29/2013 Pollack

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.